

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: William Scott Caldwell et al.

Serial No.: 09/098,285

Group Art Unit: 1614

Filed: June 16, 1998

For: COMPOUNDS CAPABLE OF ACTIVATING CHOLINERGIC RECEPTORS

Date: September 23, 1998

Assistant Commissioner for Patents  
Washington, DC 20231

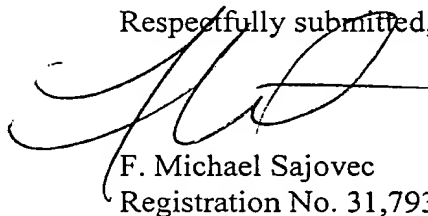
SUBMITTAL OF DECLARATION  
UNDER 37 C.F.R. § 1.63

Sir:

Enclosed is a Declaration and Power of Attorney (three) for the above identified application which has been executed by the named inventors.

A check in the amount of \$130.00 is also enclosed to cover the surcharge under 37 C.F.R. § 1.16(c). Any additional fee or credit may be charged to our Deposit Account No. 50-0220.

Respectfully submitted,

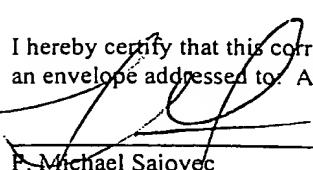


F. Michael Sajovec  
Registration No. 31,793

Myers Bigel Sibley & Sajovec  
Post Office Box 37428  
Raleigh, North Carolina 27627  
Telephone (919) 854-1400  
Facsimile (919) 854-1401

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, DC 20231, on September 23, 1998.

  
F. Michael Sajovec

Date of Signature: September 23, 1998

# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No. 627-311

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled COMPOUNDS CAPABLE OF ACTIVATING CHOLINERGIC RECEPTORS,

the specification of which

☐ is attached hereto

OR

☒ was filed on June 16, 1998 as United States Application No. 09/098,285.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

None			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

None	
Application Number(s)	Filing Date (MM/DD/YYYY)

Application Number(s)	Filing Date (MM/DD/YYYY)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application (37 C.F.R. § 1.63(d)).

None		
Appln. Serial No.	Filing Date	Status Patented/Pending/Abandoned

Appln. Serial No.	Filing Date	Status Patented/Pending/Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following registered attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

**Customer Number 20792**

**Grover M. Myers, Registration No. 25,359; and August J. Borschke, Registration No. 30,539 of R. J. Reynolds Tobacco Company, P. O. Box 1487, Winston-Salem, North Carolina 27102**

Send correspondence to: F. Michael Sajovec  
Myers Bigel Sibley & Sajovec  
Post Office Box 37428  
Raleigh, NC 27627

Direct telephone calls to: F. Michael Sajovec  
(919)854-1400

Facsimile: (919) 854-1401

Full name of first inventor: William Scott Caldwell

Inventor's  
Signature: William Scott Caldwell Date: 1 Sept, 1998

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: 1270 Yorkshire Road  
Winston-Salem, North Carolina 27106

Full name of second inventor: Gary Maurice Dull

Inventor's  
Signature: Gary Maurice Dull Date: 1 Sept. 1998

Residence: Lewisville, North Carolina

Citizenship: United States of America

Post Office Address: 6025 Shallowford Road  
Lewisville, North Carolina 27023

Full name of third inventor: Balwinder Singh Bhatti

Inventor's

Signature: Balwinder Singh Bhatti Date: 09-01-98

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: 3740J Moss Drive  
Winston-Salem, North Carolina 27106

Full name of fourth inventor: Srishailkumar B. Hadimani

Inventor's

Signature: Sr B. Hadimani Date: Sept 1<sup>st</sup>, 1998.

Residence: Winston-Salem, North Carolina

Citizenship: India

Post Office Address: Apt. 5009 E Eltha Drive  
Winston-Salem, North Carolina 27105

Full name of fifth inventor: Haeil Park

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Kangwon-do, Korea

Citizenship: Korea

Post Office Address: 202-801, Hyundai 2-cha APT, Hoopyung 3-dong  
Chuncheon, Kangwon-do  
Republic of Korea

Full name of sixth inventor: Jared Miller Wagner

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Durham, North Carolina

Citizenship: United States of America

Post Office Address: 3523 N. Roxboro Street  
Apt. 11H  
Durham, North Carolina 27704

Full name of seventh inventor: Peter Anthony Crooks

Inventor's

Signature: Peter Anthony Crooks Date: 9/21/98

Residence: Lexington, Kentucky

Citizenship: Great Britain

Post Office Address: 3233 Raven Circle  
Lexington, Kentucky 40502

627-311

*State of Kentucky  
County of Fayette*

*Signed before me this 21<sup>st</sup> day of  
September, 1998.*

*Cathy Ann Goetz  
Notary Public*

*my Commission Expires  
January 23, 2001*

AUG. 12. 1998 1:56PM M B S

0.5103 P. 2

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No. 627-311

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled COMPOSITIONS CAPABLE OF ACTIVATING CHOLINERGIC RECEPTORS,

the specification of which

☐ is attached hereto

OR

☒ was filed on June 16, 1998 as United States Application No. 09/098,285.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

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None			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No
Number	Country	MM/DD/YYYY Filed	Priority Claimed

1 of 5

AUG. 12. 1998 1:56PM M B, S

0.5103 P. 3

I hereby claim the benefit under Title 35, United States Code, § 119(c) of any United States provisional application(s) listed below.

None	
Application Number(s)	Filing Date (MM/DD/YYYY)

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I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application (37 C.F.R. § 1.63(d)).

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006020 030900



AUG. 12. 1998 1:57PM M B S

0.5103 P. 4

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following registered attorney(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

**Customer Number 20792**

**Grover M. Myers, Registration No. 25,359; and August J. Borschke, Registration No. 30,539 of R. J. Reynolds Tobacco Company, P. O. Box 1487, Winston-Salem, North Carolina 27102**

**Send correspondence to:** F. Michael Sajovec  
Myers Bigel Sibley & Sajovec  
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Raleigh, NC 27627

**Direct telephone calls to:** F. Michael Sajovec  
(919)854-1400

**Facsimile:** (919) 854-1401

**Full name of first inventor:** William Scott Caldwell

**Inventor's**  
**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Residence:** Winston-Salem, North Carolina

**Citizenship:** United States of America

**Post Office Address:** 1270 Yorkshire Road  
Winston-Salem, North Carolina 27106

**Full name of second inventor:** Gary Maurice Dull

**Inventor's**  
**Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Residence:** Lewisville, North Carolina

**Citizenship:** United States of America

**Post Office Address:** 6025 Shallowford Road  
Lewisville, North Carolina 27023

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AUG. 12. 1998 1:57PM M B S

0. 5103 P. 5

Full name of third inventor: Balwinder Singh Bhatti

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: 3740J Moss Drive  
Winston-Salem, North Carolina 27106

Full name of fourth inventor: Srishail Kumar B. Hadimani

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: Apt. 5009 E Eltha Drive  
Winston-Salem, North Carolina 27105

Full name of fifth inventor: Haeil Park

Inventor's

Signature:  Date: Aug. 17, 1998

Residence: Kangwon-do, Korea

Citizenship: Korea

Post Office Address: 202-801, Hyundai 2-cha APT, Hoopyung 3-dong  
Chuncheon, Kangwon-do  
Republic of Korea

4 of 5

AUG. 12. 1998 1:57PM M B S

NO. 5103 P. 6

Full name of sixth inventor: Jared Miller Wagner

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: 5100 Ambercrest Drive  
Apt. B2  
Winston-Salem, North Carolina 27106

Full name of seventh inventor: Peter Anthony Crooks

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Lexington, Kentucky

Citizenship: Great Britain

Post Office Address: 3233 Raven Circle  
Lexington, Kentucky 40502

627-311

5 of 5

006060 " 2722560

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Facsimile: (919) 854-1401

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Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: 1270 Yorkshire Road  
Winston-Salem, North Carolina 27106

Full name of second inventor: Gary Maurice Dull

Inventor's  
Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Lewisville, North Carolina

Citizenship: United States of America

Post Office Address: 6025 Shallowford Road  
Lewisville, North Carolina 27023

006060" 2722560

Full name of third inventor: Balwinder Singh Bhatti

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: 3740J Moss Drive  
Winston-Salem, North Carolina 27106

Full name of fourth inventor: Srishailkumar B. Hadimani

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Winston-Salem, North Carolina

Citizenship: United States of America

Post Office Address: Apt. 5009 E Eltha Drive  
Winston-Salem, North Carolina 27105

Full name of fifth inventor: Haeil Park

Inventor's

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Residence: Kangwon-do, Korea

Citizenship: Korea

Post Office Address: 202-801, Hyundai 2-cha APT, Hoopyung 3-dong  
Chuncheon, Kangwon-do  
Republic of Korea

Full name of sixth inventor: Jared Miller Wagner

Inventor's  
Signature:

Jared Miller Wagner

Date:

Sept 17, 1998

Residence:

Durham, North Carolina

Citizenship:

United States of America

Post Office Address:

3523 N. Roxboro Street  
Apt. 11H  
Durham, North Carolina 27704

Full name of seventh inventor:

Peter Anthony Crooks

Inventor's  
Signature:

Date:

Residence:

Lexington, Kentucky

Citizenship:

Great Britain

Post Office Address:

3233 Raven Circle  
Lexington, Kentucky 40502

627-311